

RECEIVED
CENTRAL FAX CENTER
SEP 05 2006

32692

Customer Number

Patent
Case No.: 59415US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: OUDERKIRK, ANDREW J.
Application No.: 10/726995 Confirmation No.: 4511
Filed: December 2, 2003
Title: PHOSPHOR BASED LIGHT SOURCE HAVING A POLYMERIC SHORT PASS REFLECTOR

INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

<p align="center">CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]</p> <p>I hereby certify that this correspondence is being:</p> <p><input type="checkbox"/> deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p> <p><input checked="" type="checkbox"/> transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300. * 8 pages *</p> <p><u>September 5, 2006</u> Date</p> <p><u>Andrew Newhouse</u> Signed by: <u>Andrew Newhouse</u></p>

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

A copy of the cited non-patent literature document is enclosed. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311.

BEST AVAILABLE COPY

Application No.: 10/726995

Case No.: 59415US002

Fees:

- ☒ Please charge the fee provided in 37 CFR § 1.17(p) to Deposit Account No. 13-3723.
One copy of this sheet marked duplicate is also enclosed.
- ☐ Any required fee will be paid at the time of EFS-Web submission.
- ☒ If necessary, charge any required fee, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

1 Sept 2006
Date

By: Stephen C. Jensen
Stephen C. Jensen, Reg. No.: 35,207
Telephone No.: 651-736-3369

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

SEP 05 2006

Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 1 of 1	Application Number	10/726995
	Filing Date	December 2, 2003
	First Named Inventor	Ouderkirk, Andrew J.
	Confirmation Number	4511
	Attorney Case Number	59415US002

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 6,727,313	04-27-2004	Zhou et al.	
	A2	US- 6,819,486	11-16-2004	Ma et al.	
	A3	US- 6,940,643	09-06-2005	Ma et al.	
	A4	US- 2005/0286124	12-29-2005	Ma et al.	
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			
	A12	US-			

Foreign Patent Documents							
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Clty. Code	Number-KindCode (If known)				
	B1						
	B2						
	B3						
	B4						
	B5						
	B6						
	B7						

OTHER DOCUMENTS			
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1	Encyclopedia of Polymer Science and Engineering; Emulsion Polymerization to Fibers, Manufacture, Volume 6, John Wiley & Sons, New York, 1986.	
	C2		
	C3		

*Examiner:	Date Considered:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Information Disclosure Statement)

BEST AVAILABLE COPY